PTO/SB/21 (09-04) (AW 10/2004)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 4+5 References

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Application Number	10/814,712			
Filing Date	March 31, 2004			
First Named Inventor	Masao Nakano et al.			
Art Unit	3746			
Examiner Name	To Be Assigned			
Attorney Docket No.	torney Docket No. AOY-3975US			

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		E	NCLC	SURES (Check all that apply)	
	Fee Transmittal For			Drawing(s) Licensing-related Papers		After Allowance Communication to TC
Amendment/Reply After Final Affidavits/Declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement (Supplemental) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks:			Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): PTO-Form SB/08(a) 5 References Return Postcard	
		SIGNATUR	E OF A	PPLICANT ATTORNEY OR A	GENT	
Firm Name Signature Printed Name Date November 29, 2006 Registration No. 42,690						
(CERTIF	ICATE	OF TRANSMISSION MAILING	G ·	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:						
Signa	Signature Deborch Spratt					
Турес	Typed or Printed Name Deborah Spratt Date November 29, 2006					
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/814,712

Applicants:

Masao NAKANO, et al.

Filed:

March 31, 2004

Title:

REFRIGERANT PUMP AND COOLING DEVICE EMPLOYING SAME

TC/A.U.:

3746

Examiner:

To Be Assigned

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Confirmation No.: 8768

Docket No.:

AOY-3975US

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a submitted herewith. A copy of the references listed on the PTO/SB/08a is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English language:

- 1) CN 1409007 A
- 2) CN 1109953 A
- 3) JP 07-047957

AOY-3975US PATENT

Reference 1) includes an English language abstract, Reference 3) includes an English language abstract from JP 2283887, and Reference 2) has a counterpart English language application attached.

This Supplemental Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

ack J. Jankovita Rep. No. 42,690

JJJ/ds

Enclosures: PTO/SB/08a

(5) References Transmittal Form

Dated: November 29, 2006

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

November 29, 2006

Deborah Spratt Deboral Spratt

ds/88909

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PTO/SB/08a (07-05) (TMB 07/2005) Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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	Complete if Known				
	Application Number	10/814,712			
Filing Date First Named Invento Art Unit	Filing Date	March 31, 2004			
	First Named Inventor	Masao Nakano et al.			
	Art Unit	3746			
	Examiner Name	To Be Assigned			
	Attorney Docket No.	A0V-3975US			

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			U.S. PATE	NT DO	CUMENTS		
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Examiner Initials*	Cite No.1	Number - Kind Code ^{2 (if known)}	Publication I (MM-DD-YY			of Patentee or of Cited Document	Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS Pages, Columns, Name of Patentee or Foreign Patent Document Lines, Where Relevant **Publication Date** Applicant of Cited Passages or Relevant Examiner Cite Country Code3 - Number4 - Kind Code5 (If known) Тб Initials* No.1 (MM-DD-YYYY) Document Figures Appear KESIYA DIGITAL CN 1409007A (w/English Abstract) 04-09-2003 **ELECTRIC SHENZH** CN 1109953A (w/counterpart 10-11-1995 MONO PUMPS LIMITED EP 0 638 734 A1) EP 0 638 734 A1 (w/counterpart 02-15-1995 MONO PUMPS LIMITED CN 1109953A) MATSUSHITA JP 07-047957 (w/English abstract from 05-24-1995 **ELECTRIC IND CO** counterpart JP 2283887) LTD. MATSUSHITA JP 2283887 (Abstract Only) 11-21-1990 **ELECTRIC IND CO** Examiner Date Considered Signature

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

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For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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